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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/868,695

Filing Date September 26, 2001

First Named Inventor Rosenfeld, et. al.

Group Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number 05222.00171

Total Number of Pages in This Submission

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Technology Center 2100

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

94 References

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameKenneth F. Smolik
Banner & Witcoff, Ltd.

Signature

Kenneth F. Smolik

Date

April 29, 2004

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**FEE TRANSMITTAL
for FY 2003**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180**Complete if Known**

Application Number 09/868,695

Filing Date September 26, 2003

First Named Inventor Rosenfeld

Examiner Name Bell

Art Unit 2121

Attorney Docket No. 005222.00171

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☒ Charge fee(s) indicated below ☒ Credit any overpayments
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to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	0		0
Independent Claims			0
Multiple Dependent			0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Publication Fee

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)

Kenneth F. Smolik

Registration No. Attorney/Agent)

44,344

Telephone

312-463-5000

Signature

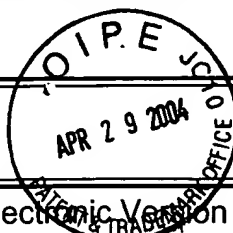
Kenneth F. Smolik

Date

April 29, 2004

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Electronic Version 1.1

Stylesheet Version v1.1.1

**Title of
Invention****A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A
GOAL BASED SYSTEM, UTILIZING A TABLE BASED
ARCHITECTURE****Submission Type:** Information Disclosure Statement**Application Number:** 09/868695***09/868695*****EFS ID:** 60064**Server Response:**

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	3233
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg: Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant: Eren Rosenfeld**Attorney Docket Number:** 05222.00171**Timestamp:** 2004-04-29 11:45:51 EDT**From:** us**File Listing:**

Doc. Name	File Name	Size (Bytes)
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Total files size		84656

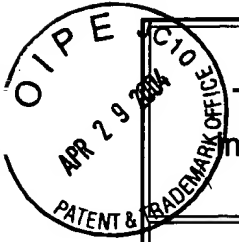
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Government,c=US

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of
Invention**A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE
FOR A GOAL BASED SYSTEM, UTILIZING A TABLE BASED
ARCHITECTURE**

Application Number: 09/868695

09/868695

Confirmation Number: 3233

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First Named Applicant: Eren Rosenfeld

MAY 04 2004

Attorney Docket Number: 05222.00171

Art Unit: 2121

Technology Center 2100

Examiner: Meltin Bell

Search string: (4622013 or 4874784 or 4931950 or 4964077 or 4977529 or
5002491 or 5170464 or 5189402 or 5208745 or 5208898 or
5239617 or 5259766 or 5310349 or 5311422 or 5317688 or
5326270 or 5359701 or 5372507 or 5395243 or 5441415 or
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6073127 or 6085184 or 6101489 or 6125358 or 6134539 or
5267865 or 5987443 or 4891766).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

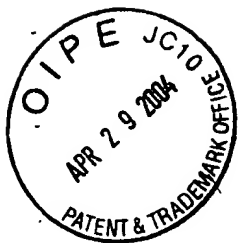
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	1	4622013	1986-11-11	Cerchio			
	2	4874784	1989-10-17	Smith			
	3	4931950	1990-06-05	Isle			
	4	4964077	1990-10-16	Eisen			
	5	4977529	1990-12-11	Gregg			
	6	5002491	1991-03-26	Abrahamson			
	7	5170464	1992-12-08	Hayes			
	8	5189402	1993-02-23	Naimark			
	9	5208745	1993-05-04	Quentin			
	10	5208898	1993-05-04	Funabashi			
	11	5239617	1993-08-24	Gardner			
	12	5259766	1993-11-09	Sack			
	13	5310349	1994-05-10	Daniels			
	14	5311422	1994-05-10	Loftin			
	15	5317688	1994-05-31	Watson			
	16	5326270	1994-07-05	Ostby			
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	19	5395243	1995-03-07	Lubin			
	20	5441415	1995-08-15	Lee			
	21	5491743	1996-02-13	Shiio			
	22	5533903	1996-07-09	Kennedy			
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	24	5537141	1996-07-16	Harper			
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	26	5566291	1996-10-15	Boulton			
	27	5576844	1996-11-19	Anderson			
	28	5577186	1996-11-19	Mann, II			
	29	5597312	1997-01-28	Bloom			
	30	5616033	1997-04-01	Kerwin			
	31	5644686	1997-07-01	Hekmatpour			
	32	5644727	1997-07-01	Atkins			
	33	5673369	1997-09-30	Kim			
	34	5690496	1997-11-25	Kennedy			
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	40	5745652	1998-04-28	Bigus
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	60	6003021	1999-12-14	Zadik
	61	6015348	2000-01-18	Lambright
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	73	6064998	2000-05-16	Zabloudil
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	78	6101489	2000-08-08	Lannert
	79	6125358	2000-09-26	Hubbell
	80	6134539	2000-10-17	O'Connor
	81	5267865	1993-12-07	Lee
	82	5987443	1999-11-16	Nichols
	83	4891766	1990-01-02	Derr

Signature

Examiner Name	Date



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 05222.00171)

In re U.S. Patent Application of Rosenfeld, et al.)

Application No. 09/868,695)

Filed: September 26, 2001)

For: A GOAL BASED SYSTEM, UTILIZING A TABLE BASED ARCHITECTURE)

Group Art Unit: 2121

Examiner: Bell

Confirmation No. 3233

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

The U.S. references were filed electronically on April 29, 2004. A copy of the Electronic Information Disclosure Statement is attached hereto.

The Commissioner is authorized to charge or credit any fees associated with this paper to Deposit Account No. 19-0733.

Under 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

05/04/2004 WABDELK1 00000139 190733 09868695

01 FC:1806 180.00 DA

Under 37 CFR §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

The present patent application is related to the following co-pending patent applications:

09/502,348	09/218,977	09/218,726	09/868,693	09/868,669
09/219,055	09/218,749	09/218,995	09/868,698	09/868,713
09/219,080	09/219,479	09/218,945	09/868,694	09/868,667
09/305,719	09/219,524	09/221,608	09/868,678	10/008,265
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09/218,968	09/219,481	09/502,349	09/868,682	09/934,924
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09/218,741	09/219,201	09/887,188	09/868,689	09/935,348
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Other Prior Art -- Non Patent Literature Documents

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Respectfully submitted,

By: 

Kenneth F. Smolik

Registration No. 44,344

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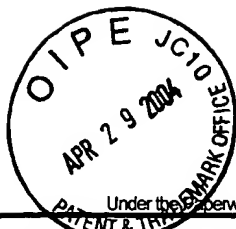
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Rosenfeld, et. al., U.S. Patent Application No. 09/868,695 for **"A GOAL BASED SYSTEM, UTILIZING A TABLE BASED ARCHITECTURE"**

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